Sheet 1 (A) of SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. SERIAL NO. (MODIFIED) PATENT AND TRADEMARK OFFICE 10/802.165 ELYN/10 INFORMATION DISCLOSURE APPLICANT Confirmation No. STATEMENT BY APPLICANT James D. Gersten, et al. 4146 (Use several sheets if necessary) FILING DATE GROUP (37 CFR 1.98(b)) March 17, 2004 2131 U.S. PATENT DOCUMENTS **EXAMINER** ISSUE FILING DATE INITIAL PATENT NUMBER DATE **PATENTEE** SUBCLASS CLASS FT 9 A.A 2 5 5/8/1990 Matyas et al. 380 24 8/24/1989 FT A.B 5 6 9 5 5 10/14/1997 Doggett et al. 380 24 4/7/1995 A.C FT 9 0 9 0 8/4/1998 Smith et al. 395 200.36 10/24/1996 FT A.D 5 8 0 2 2/9/1999 Norris 705 38 10/15/1998 5 FT **AE** 8 o 5 3/2/1999 Grant et al. 705 39 9/25/1996 FT A.F 5 9 4 0 8 8/17/1999 Norris 705 38 10/15/1996 FT A.G 6 0 2 2/1/2000 0 Anderson et al. 380 25 12/19/1997 A.H 6 0 4 4 3 3/28/2000 FT Tolooka et al. 705 1 6/19/1998 FT A.I 6 0 5 4/25/2000 Wren 705 27 6/21/1996 FT A.J 6 0 6 1 8 5/9/2000 Smith et al. 380 21 4/1/1997 FT A.K 6 0 5/16/2000 Smithies et al. 115 7/8/1998 FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS DOCUMENT **PUBLICATION** COUNTRY OR TRANSLATION NUMBER DATE PATENT OFFICE CLASS SUBCLASS (YES/NO) A.L A.M AN A.O A.P OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) Tumbleweed Communications, Tumbleweed Secure Email for Customer Partner Communications A.R FT http://www.tumbleweed.com/en/industries/financial_services/secure_email_services.html; printed 2/10/2004, (2 pages). FT Tumbleweed Communications, <u>Secure File Transfer for Payments and Messaging</u>, http://www.tumbleweed.com/en/industries/financial_services/sft_financial_services.html; printed 2/10/2004, (2 pages). AS FT PETROGIANNIS, Tommy, Status Identifier for Identifying the Approval Status of an Electronic Document, U.S. Patent A.T Application Publication No. US 2002/0019838 A1, Published February 14, 2002, Application Serial No. 09/899,731, filed July 5, 2001. **EXAMINER** DATE CONSIDERED /Fatoumata Traore/ 04/03/2007 EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS

Anderson et al.

Salzmann et al.

PATENTEE

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